



INCOME RESEARCH + MANAGEMENT

## COMMITMENT TO SRI

### IR+M CORE SRI FUND SCREENS

Income Research + Management uses data from a leading third-party vendor to monitor the social restrictions incorporated within the IR&M Socially Responsible Core Bond Fund LLC (“the Fund”). This widely used screening tool enables us to screen our investments on a pre-trade and post-trade basis within our trade order management system, Fidessa.

Below is information about the categories of issuers that we screen from the Fund, along with the related criteria for their exclusion.

Category	Investments Restricted When:
Adult Entertainment	Recent-year percent of revenue, or maximum estimated percent, a company has derived from adult entertainment exceeds 10%.
Alcohol	Recent-year percentage of revenue, or maximum estimated percent, a company has derived from manufacturing, distributing, retailing, licensing, and supplying alcoholic products exceeds 10%.
Gambling	Recent-year percent of revenue, or maximum estimated percent, a company has derived from gambling-related business activities exceeds 10%.
Global Sanctions - Sudan	Companies have direct or indirect business ties, or other involvement, with Sudan.
Predatory Lending	Financial institutions that received a rating of "Needs to improve" or "Substantial Noncompliance" after taking the Community Reinvestment Act examination. According to the U.S. Federal Deposit Insurance Corporation, the CRA rating is evaluated in the context of information about the institution (financial condition and business strategies), its community (demographic and economic data) and its competitors.
Tobacco	Recent-year percent of revenue, or maximum estimated percent, a company has derived from the manufacture of tobacco products exceeds 10%.
Defense and Weapons - Global Weapons Production - Total Involvement	Recent-year percent of revenue, or maximum estimated percent, a company has derived from weapons systems, components, and support systems and services exceeds 10%.
Health Care	
<ul style="list-style-type: none"> <li>Abortion and Contraceptives – Abortion Providers</li> </ul>	Companies own or operate a facility where an abortion has been performed within the last three fiscal years.
<ul style="list-style-type: none"> <li>Abortion and Contraceptives – Contraceptives</li> </ul>	Companies have an industry tie to contraceptives including producer, licensor, or ownership of or ownership by a contraceptives company.
<ul style="list-style-type: none"> <li>Stem Cell Research – Fetal Tissue</li> </ul>	Companies conduct stem cell research with cells derived from human fetal tissue.
<ul style="list-style-type: none"> <li>Stem Cell Research – Embryonic</li> </ul>	Companies conduct stem cell research with cells derived from human embryos.
<ul style="list-style-type: none"> <li>Health Care Sub-Industries</li> </ul>	Companies linked to: Health Care Equipment, Health Care Supplies, Health Care Distributors, Health Care Services, Health Care Facilities, Managed Health Care, Health Care Technology, Biotechnology, Pharmaceuticals, Life Sciences Tools & Services, Health Care REITs.

### IR+M SRI MANAGEMENT

- 15+ year track record managing SRI portfolios
- Over 100 SRI mandates
- Approximately \$12.4 billion in SRI AUM
- Separately managed and commingled options
- Experience in managing SRI across strategies
- Ability to customize portfolios/benchmarks

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The views contained in this report are those of IR+M and are based on information obtained by IR+M from sources that are believed to be reliable but IR+M makes no guarantee as to the accuracy or completeness of the underlying third-party data used to form IR+M's views and opinions.